

PCTWORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁷ : C07F 15/00		A1	(11) International Publication Number: WO 00/58322
			(43) International Publication Date: 5 October 2000 (05.10.00)
(21) International Application Number: PCT/US00/08753			(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
(22) International Filing Date: 31 March 2000 (31.03.00)			
(30) Priority Data: 60/127,469 31 March 1999 (31.03.99) US			
(71) Applicant (for all designated States except US): CALIFORNIA INSTITUTE OF TECHNOLOGY [US/US]; 1200 East California Boulevard, Pasadena, CA 91125 (US).			
(72) Inventors; and			
(75) Inventors/Applicants (for US only): GRUBBS, Robert, H. [US/US]; 1700 Spruce Street, South Pasadena, CA 91030 (US). TRNKA, Tina, M. [US/US]; Apt. #2, 278 South Oak Knoll Avenue, Pasadena, CA 91101 (US).			
(74) Agents: GARDE, Tanuja, V et al.; Pillsbury Madison & Sutro LLP, 50 Fremont Street, San Francisco, CA 94105 (US).			
(54) Title: NOVEL RUTHENIUM METAL ALKYLIDENE COMPLEXES COORDINATED WITH TRIAZOLYLIDENE LIGANDS THAT EXHIBIT HIGH OLEFIN METATHESIS ACTIVITY			
(57) Abstract			
<p>The invention discloses ruthenium alkylidene of the type (PCy₃)(L)Cl₂Ru(CHPh), where L is a triazolylidene ligand of the general formula (I). These catalysts have been found to be considerably more active for olefin metathesis at elevated temperatures than the parent catalyst (PCy₃)₂Cl₂Ru(CHPh)(2). For example, complex 14 (L=1,4,4-triphenyl-4,5-dihydro-1H-triazol-5-ylidene) is able to catalyze the ring-closing metathesis of substituted dienes to give tetra-substituted cyclic olefins in good yield. In addition, this complex demonstrates the analogous stability towards oxygen and moisture exhibited by ruthenium alkylidene 2.</p>			<p style="text-align: right;">(I)</p>

BEST AVAILABLE COPY

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		

Novel Ruthenium Metal Alkylidene Complexes Coordinated with Triazolylidene Ligands that Exhibit High Olefin Metathesis Activity

5

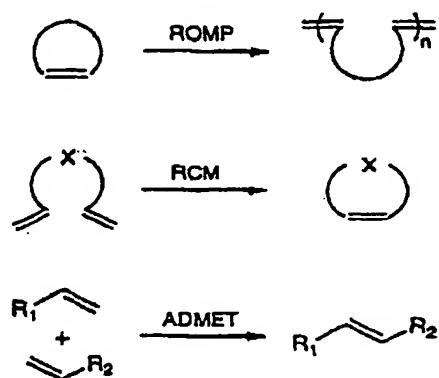
BACKGROUND

Metathesis catalysts have been previously described by for example, United States Patents Nos. 5,312,940, 5,342,909, 5,728,917, 5,750,815, 5,710,298, and 5,831,108 and PCT Publications WO 97/20865 and WO 97/29135 which are all incorporated herein by reference.

10 These publications describe well-defined single component ruthenium or osmium catalysts that possess several advantageous properties. For example, these catalysts are tolerant to a variety of functional groups and are more active than previously known metathesis catalysts.

15 Olefin metathesis is a carbon-carbon bond breaking/bond making process in which there is an overall exchange of double bond moieties between two olefins. The three main ways that olefin metathesis can be applied are illustrated in Scheme 1. Ring-opening metathesis polymerization (ROMP) involves the formation of polyolefins from strained cyclic olefins; ring-closing metathesis (RCM) involves the intramolecular transformation of an *alpha*, *omega*-diene to a cyclic olefin; and acyclic diene metathesis (ADMET) involves the intermolecular exchange of olefins.

20



Scheme 1

Olefin metathesis can be mediated by a number of transition metals, but the two most widely used catalysts are the Schrock molybdenum alkylidene (**1**) and the Grubbs ruthenium alkylidene (**2**). Figure 1 shows examples of these two catalysts.

5 The commercial availability and high activity of these well-defined, single-component catalysts has led to the development of olefin metathesis as a standard synthetic method. In particular, RCM has been applied to a diverse array of problems, ranging from the total synthesis of natural products to the synthesis of catenanes. As one review author recently commented, ring-closing metathesis "has come of age as a synthetic technique. It is no
10 longer a novelty, to be included in the title of every paper, it is a synthetic tool available to every practicing organic synthetic chemist."

15 Yet, there is still considerable room for improvement. Neither **1** nor **2** is a "perfect" catalyst; each has significant problems associated with it. Although the Schrock alkylidene (**1**) has the greater overall activity, it suffers from extreme air and moisture sensitivity, and it lacks
20 tolerance for many functional groups (e.g. alcohols, aldehydes, and carboxylic acids). On the other hand, the Grubbs alkylidene (**2**) is easier and less expensive to make, is air stable as a solid and has a much wider functional group tolerance, but its activity is limited to at least two orders of magnitude less than **1**. Additionally, neither **1** nor **2** provides stereo-selective control over the metathesis products.

25 Because of these problems, the design of metathesis catalysts with better activity, stability, and selectivity is an area of active investigation. Recently, several modifications of complex **2** have been reported, including a heterobimetallic complex (**3**), a bidentate Schiff base supported complex (**4**), and a bis (N-heterocyclic carbene) substituted complex (**5**). Figure 2 shows examples of each of these ligands.

30 Complex **3** is approximately 80 times more active than **2** for the ROMP of 1,5-cyclooctadiene, and so it can be used as an alternative in RCM reactions that would proceed too slowly with **2** to be practical. However, at the same time, **3** is also more unstable than **2** and decomposes more rapidly. Complex **4** is more active at elevated temperatures than **2** for RCM, and it has the advantage of remaining active in polar protic media. Finally, complex **5** displays ROMP and RCM activity at elevated temperatures that is comparable to the activity of **2** at room temperature.

Thus, there is a need for a stable, more active metathesis catalyst. The invention address this need by providing for mono-substituted derivatives of the type shown in Figure 3 as more active metathesis catalysts than those previously examined. In addition, the invention 5 provides a method of attaching N-heterocyclic carbene ligands to a metal center and methods of using the same.

BRIEF DESCRIPTION OF THE DRAWINGS

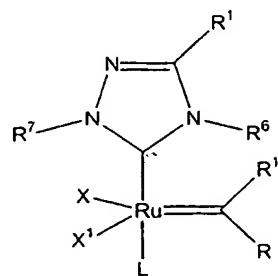
10 Figure 1 shows examples of the Schrock molybdenum alkylidene catalyst and the Grubbs ruthenium alkylidene catalyst.

Figure 2 shows examples of ligand modifications of the Grubbs ruthenium alkylidene catalyst.

15

SUMMARY OF THE INVENTION

The present invention relates to novel metathesis catalysts with a triazolylidene-based ligand 20 and methods for preparing and using the same. Preferred embodiments of the catalysts of the present invention are of the general formula



25 wherein:

X and *X'* are each independently an anionic ligand;

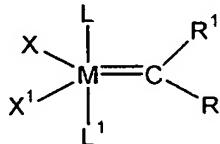
L is a neutral electron donor ligand;

R, R¹ R⁶ and R⁷ are each independently hydrogen or a substituent selected from the group consisting of C₁-C₂₀ alkyl, C₂-C₂₀ alkenyl, C₂-C₂₀ alkynyl, aryl, C₁-C₂₀ carboxylate, C₁-C₂₀ alkoxy, C₂-C₂₀ alkenyloxy, C₂-C₂₀ alkynyloxy, aryloxy, C₂-C₂₀ alkoxy carbonyl, C₁-C₂₀ alkylthio, C₁-C₂₀ alkylsulfonyl and C₁-C₂₀ alkylsulfinyl. Optionally, each of the R, R¹, R⁶ and R⁷ substituent groups may be independently substituted with one or more moieties selected from the group consisting of C₁-C₁₀ alkyl, C₁-C₁₀ alkoxy, and aryl which in turn may each be further substituted with one or more groups selected from a halogen, a C₁-C₅ alkyl, C₁-C₅ alkoxy, and phenyl. Moreover, any of the catalyst ligands may further include one or more functional groups. Examples of suitable functional groups include but are not limited to: hydroxyl, thiol, thioether, ketone, aldehyde, ester, ether, amine, imine, amide, nitro, carboxylic acid, disulfide, carbonate, isocyanate, carbodiimide, carboalkoxy, carbamate, and halogen. The inclusion of a triazolylidene ligand to the previously described ruthenium or osmium catalysts has been found to dramatically improve the properties of these complexes. In particular, the new catalyst has been shown to be remarkably more active at elevated temperatures for metathesis reactions such as the ring-opening metathesis polymerization of cyclic monomers and the ring closing metathesis of dienes.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS.

The present invention generally relates to ruthenium carbene catalysts for use in olefin metathesis reactions. More particularly, the present invention relates to triazolylidene-based ruthenium carbene catalysts and methods for making the same.

Unmodified ruthenium and osmium carbene complexes have been described in United States Patents Nos. 5,312,940, 5,342,909, 5,728,917, 5,750,815, and 5,710,298, all of which are incorporated herein by reference. The ruthenium and osmium carbene complexes disclosed in these patents all possess metal centers that are formally in the +2 oxidation state, have an electron count of 16, and are penta-coordinated. These catalysts are of the general formula



wherein:

M is ruthenium or osmium;

X and X¹ are each independently any anionic ligand;

L and L¹ are each independently any neutral electron donor ligand;

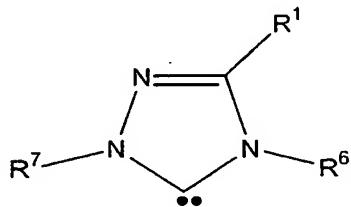
5 R and R¹ are each independently hydrogen or a substituent selected from the group consisting of C₁-C₂₀ alkyl, C₂-C₂₀ alkenyl, C₂-C₂₀ alkynyl, aryl, C₁-C₂₀ carboxylate, C₁-C₂₀ alkoxy, C₂-C₂₀ alkenyloxy, C₂-C₂₀ alkynyloxy, aryloxy, C₂-C₂₀ alkoxycarbonyl, C₁-C₂₀ alkylthio, C₁-C₂₀ alkylsulfonyl and C₁-C₂₀ alkylsulfinyl. Optionally, each of the R or R¹ substituent group may be substituted with one or more moieties selected from the group 10 consisting of C₁-C₁₀ alkyl, C₁-C₁₀ alkoxy, and aryl which in turn may each be further substituted with one or more groups selected from a halogen, a C₁-C₅ alkyl, C₁-C₅ alkoxy, and phenyl. Moreover, any of the catalyst ligands may further include one or more functional groups. Examples of suitable functional groups include but are not limited to: hydroxyl, thiol, thioether, ketone, aldehyde, ester, ether, amine, imine, amide, nitro, carboxylic acid, 15 disulfide, carbonate, isocyanate, carbodiimide, carboalkoxy, carbamate, and halogen.

In preferred embodiments of these catalysts, the R substituent is hydrogen and the R¹ substituent is selected from the group consisting of C₁-C₂₀ alkyl, C₂-C₂₀ alkenyl, and aryl. In even more preferred embodiments, the R¹ substituent is phenyl or vinyl, optionally 20 substituted with one or more moieties selected from the group consisting of C₁-C₅ alkyl, C₁-C₅ alkoxy, phenyl, and a functional group. In especially preferred embodiments, R¹ is phenyl or vinyl substituted with one or more moieties selected from the group consisting of chloride, bromide, iodide, fluoride, -NO₂, -NMe₂, methyl, methoxy and phenyl. In the most preferred 25 embodiments, the R¹ substituent is phenyl or -C=C(CH₃)₂.

25 In preferred embodiments of these catalysts, L and L¹ are each independently selected from the group consisting of phosphine, sulfonated phosphine, phosphite, phosphinite, phosphonite, arsine, stibine, ether, amine, amide, imine, sulfoxide, carboxyl, nitrosyl, pyridine, and thioether. In more preferred embodiments, L and L¹ are each a phosphine of 30 the formula PR³R⁴R⁵, where R³, R⁴, and R⁵ are each independently aryl or C₁-C₁₀ alkyl, particularly primary alkyl, secondary alkyl or cycloalkyl. In the most preferred embodiments, L and L¹ ligands are each selected from the group consisting of - P(cyclohexyl)₃, -P(cyclopentyl)₃, -P(isopropyl)₃, and -P(phenyl)₃.

In preferred embodiments of these catalysts, X and X¹ are each independently hydrogen, halide, or one of the following groups: C₁-C₂₀ alkyl, aryl, C₁-C₂₀ alkoxide, aryloxide, C₃-C₂₀ alkylidiketonate, aryldiketonate, C₁-C₂₀ carboxylate, arylsulfonate, C₁-C₂₀ alkylsulfonate, C₁-C₂₀ alkylthio, C₁-C₂₀ alkylsulfonyl, or C₁-C₂₀ alkylsulfinyl. Optionally, X and X¹ may be 5 substituted with one or more moieties selected from the group consisting of C₁-C₁₀ alkyl, C₁-C₁₀ alkoxy, and aryl which in turn may each be further substituted with one or more groups selected from halogen, C₁-C₅ alkyl, C₁-C₅ alkoxy, and phenyl. In more preferred 10 embodiments, X and X¹ are halide, benzoate, C₁-C₅ carboxylate, C₁-C₅ alkyl, phenoxy, C₁-C₅ alkoxy, C₁-C₅ alkylthio, aryl, and C₁-C₅ alkyl sulfonate. In even more preferred 15 embodiments, X and X¹ are each halide, CF₃CO₂, CH₃CO₂, CFH₂CO₂, (CH₃)₃CO, (CF₃)₂(CH₃)CO, (CF₃)(CH₃)₂CO, PhO, MeO, EtO, tosylate, mesylate, or trifluoromethanesulfonate. In the most preferred embodiments, X and X¹ are each chloride.

The catalysts of the present invention are as described above except that L¹ is an 15 unsubstituted or substituted triazolylidene of the general formula:



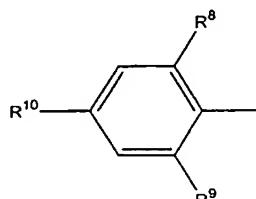
wherein:

R⁶ and R⁷ are each independently hydrogen or a substituent selected from the group 20 consisting of C₁-C₂₀ alkyl, C₂-C₂₀ alkenyl, C₂-C₂₀ alkynyl, aryl, C₁-C₂₀ carboxylate, C₁-C₂₀ alkoxy, C₂-C₂₀ alkenyloxy, C₂-C₂₀ alkynyloxy, aryloxy, C₂-C₂₀ alkoxy carbonyl, C₁-C₂₀ alkylthio, C₁-C₂₀ alkylsulfonyl and C₁-C₂₀ alkylsulfinyl. In addition, each of the R⁶ and R⁷ 25 substituent groups may be substituted with one or more moieties selected from the group consisting of C₁-C₁₀ alkyl, C₁-C₁₀ alkoxy, and aryl which in turn may each be further substituted with one or more groups selected from a halogen, a C₁-C₅ alkyl, C₁-C₅ alkoxy, and phenyl. Moreover, each of the R⁶ and R⁷ substituent groups may further include one or 30 more functional groups. Examples of suitable functional groups include but are not limited to: hydroxyl, thiol, thioether, ketone, aldehyde, ester, ether, amine, imine, amide, nitro, carboxylic acid, disulfide, carbonate, isocyanate, carbodiimide, carboalkoxy, carbamate, and halogen.

Optionally, R⁶ and R⁷ together may form a cycloalkyl or an aryl moiety. In preferred embodiments, R⁶ and R⁷ are both hydrogen or phenyl, or R⁶ and R⁷ together form a cycloalkyl group.

5

Without being bound by theory, it is believed that bulkier R⁶ and R⁷ groups result in catalysts with improved characteristics such as thermal stability. In even more preferred embodiments, R⁶ and R⁷ are the same and each is independently of the formula



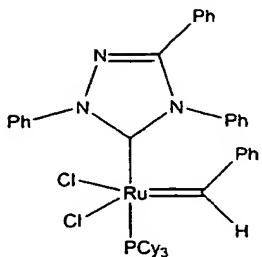
10

wherein:

R⁸, R⁹, and R¹⁰ are each independently hydrogen, substituted or unsubstituted C₁-C₁₀ alkyl, C₁-C₁₀ alkoxy, aryl, or a functional group selected from hydroxyl, thiol, thioether, ketone, aldehyde, ester, ether, amine, imine, amide, nitro, carboxylic acid, disulfide, carbonate, isocyanate, carbodiimide, carboalkoxy, carbamate, and halogen. In the most preferred embodiments, R⁸, R⁹, and R¹⁰ are the same and are each methyl.

As stated above, R¹ may be hydrogen or a substituent selected from the group consisting of C₁-C₂₀ alkyl, C₂-C₂₀ alkenyl, C₂-C₂₀ alkynyl, aryl, C₁-C₂₀ carboxylate, C₁-C₂₀ alkoxy, C₂-C₂₀ alkenyloxy, C₂-C₂₀ alkynyloxy, aryloxy, C₂-C₂₀ alkoxy carbonyl, C₁-C₂₀ alkylthio, C₁-C₂₀ alkylsulfonyl and C₁-C₂₀ alkylsulfinyl. Optionally, the R¹ substituent group may be substituted with one or more moieties selected from the group consisting of C₁-C₁₀ alkyl, C₁-C₁₀ alkoxy, and aryl which in turn may each be further substituted with one or more groups selected from a halogen, a C₁-C₅ alkyl, C₁-C₅ alkoxy, and phenyl. Moreover, any of the catalyst ligands may further include one or more functional groups. Examples of suitable functional groups include but are not limited to: hydroxyl, thiol, thioether, ketone, aldehyde, ester, ether, amine, imine, amide, nitro, carboxylic acid, disulfide, carbonate, isocyanate, carbodiimide, carboalkoxy, carbamate, and halogen. In preferred embodiments, R¹ is either substituted or unsubstituted aryl.

A preferred embodiment of the catalyst is of the general formula:



14

5 Another embodiment of the invention includes both L and L¹ being an unsubstituted or substituted triazolylidene. In this embodiment, R, R¹, R⁶, R⁷, X and X¹ are as described above.

Metathesis Reactions

10 The catalysts of the invention may be used for any metathesis reaction (*i.e.* ring opening metathesis polymerization, ring closing metathesis, cross metathesis, etc.) by contacting the inventive catalysts with an appropriate olefin. The RCM activity of an exemplary catalyst complex 14 in comparison to the Schrock (1) and Grubbs (2) catalysts is summarized in Table 1. Specifically, Table 1 shows the percent conversion in the RCM of substrates with 1, 15 2, and 14. This series of substrates was chosen in order to probe the ability of these new complexes to initiate ring-closing metathesis (RCM), and also to determine if they have any effect on the stereoselectivity of the RCM reaction. As seen, E = CO₂Et where the percent conversion and E:Z ratios were determined by ¹H NMR Integration. The conditions under which the reactions took place for catalyst 1 are as follows: 5 mol % catalyst, 0.10 in C₂D₆, 20 65°C. The conditions under which the reactions took place for catalyst 1 are as follows: 5 mol % catalyst, 0.05M CD₂Cl₂, 40°C.

In the case of diethyl diallylmalonate, the first substrate, initial screening revealed that the activity of complex 14 is relatively slow at room temperature (25°C). For example, with a 25 catalyst loading of 5 mol % at a concentration of 0.05M in CD₂Cl₂, the Grubbs catalyst (2) takes less than 30 minutes to complete the cyclization, while complex 14 takes 8 hours to accomplish the same conversion. However, the activity of 14 increased dramatically at elevated temperatures. At ~40°C, it is able to cyclize diethyl diallylmalonate within 30 minutes.

Table 1

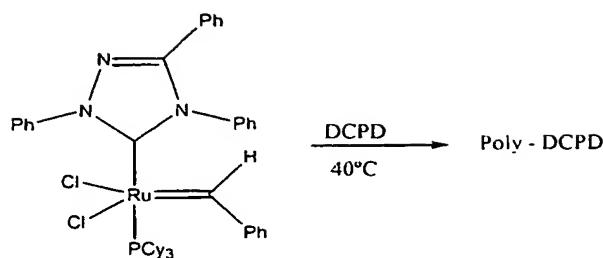
Substrate	Product	Catalyst 1°	Catalyst 2°	Catalyst 14°
		---	30 min 100%	30 min 100%
		100%	30 min 82%	30 min 98 %
		96%	no reaction	30 min 85%
		96%	no reaction	30 min 53%
		61%	no reaction	30 min 82%
		---	60 min 39%	30 min 73%
			E:Z=1.6:1	E:Z=2.3:1

5

Complex 14 showed superior activity for even more sterically challenging substrates. While complex 2 is not able to ring-close 2-*t*-butyl diethyl diallylmalonate, 4,4'-dicarbethoxy-2,6-dimethyl-1,6-heptadiene, or 4,4'-dicarbethoxy-2,7-dimethyl-1,7-octadiene, 14 was active for this transformation (Table 1). The conversions at ~40°C ranged from moderate (53%) to 10 very good (85%) for these substrates. This activity is significant because, up until now, the only metathesis catalyst able to ring-close highly substituted dienes to yield tri- and tetra-substituted olefins has been the Schrock alkylidene (1). In fact, the new catalyst (14) shows improved activity over the Schrock molybdenum alkylidene in the RCM of 4,4'-dicarbethoxy-2,7-dimethyl-1,7-octadiene (82% conversion for 14 vs. 61% for 1).

The RCM of triethylene glycol diallyl ether was tested in order to determine if this new catalyst had any effect on *cis,trans*-stereoselectivity. Complex 14 produced a product mixture with an E:Z ratio of approximately 2.3:1, which is only somewhat greater than the 5 ratio of 1.6:1 observed for complex 2.¹⁷ Interestingly, when the reaction with catalyst 14 was allowed to proceed at room temperature (44% conversion after 20 hours), the observed E:Z ratio was 5:1. The relatively high proportion of *trans* product is due to the reaction reaching equilibrium during the extended reaction time.

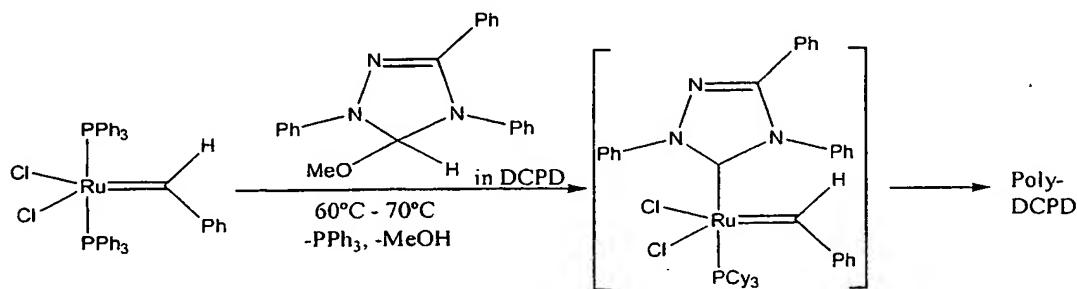
10 In addition to RCM activity, the novel catalyst (e.g., complex 14) is able to catalyze the ROMP of cyclic olefins. Because these new ruthenium-based olefin metathesis catalysts coordinated with N-heterocyclic carbene ligands display such high activity, they are capable of mediating the ROMP of more challenging monomers, such as those that are less-strained and sterically bulky. For example, these new catalysts can ROMP trisubstituted cyclooctene 15 derivatives and cyclooctatetraene derivatives, toward which the parent bis(phosphine) catalyst 2 is inactive. In addition, these new catalysts are capable of polymerizing the more highly strained and sterically less bulky monomers traditionally polymerized by 2, such as cyclopropenes, cyclobutenes, benzocyclobutenes, cyclopentenes, cyclopentadiene oligomers, cyclohexenes, cycloheptenes, cyclooctenes, cyclooctadienes, norbornenes, norbornadienes, 20 [2.2.1]bicycloheptenes, [2.2.2]bicyclooctenes, cyclohexenylnorbornenes, and norbornene dicarboxylic anhydrides. Preferably, the novel catalyst is able to catalyze the ROMP of dicyclopentadiene. When just a fractional mol % of catalyst is added to vigorously stirred, warmed (~40°C) dicyclopentadiene, the polymerization reaction occurs within minutes to 25 yield a solid mass of yellow material. For example, Scheme 2 shows the use of 0.1 mol % of complex 14 to ROMP dicyclopentadiene (DCPD).



5

Scheme 2

Further, complex **14** was more active *in situ* for ROMP than the general Grubbs complex **2** with L = PPh₃. For example, the PPh₃ derivative of complex **2** is not active enough to initiate the ROMP of DCPD. However, as shown in Scheme 3, when the PPh₃ derivative of complex **2** was combined with the triazolylidene alkoxide adduct **12** in DCPD and warmed to 60-70°C, 10 a more active catalyst formed *in situ* that was able to perform the ROMP reaction.



15

Scheme 3

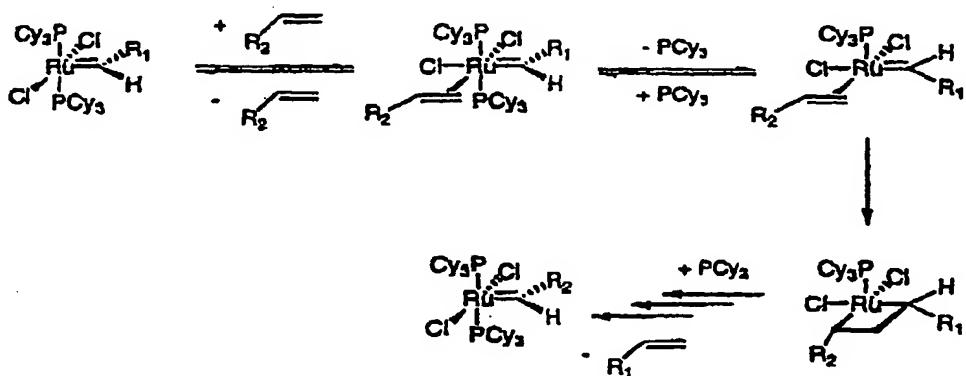
This type of complex has been shown to be remarkably more active at elevated temperatures for metathesis than the parent complex (PCy₃)₂Cl₂Ru(CHPh) (**2**). For example, complex **14** (L = 1,3,4-triphenyl-4,5-dihydro-1H-triazol-5-ylidene) is able to ring-close highly substituted 20 dienes to give tetra-substituted cyclic olefins in good yields. To date, this reaction has not been possible with complex **2**. In addition to enhanced activity, complex **14** demonstrates the analogous stability towards oxygen and moisture that is exhibited by complex **2**. Complex **14**

is also able to initiate the ring-opening metathesis polymerization of dicyclopentadiene. Thus, it has been possible to combine the many desirable properties of the ruthenium alkylidene (2) with the higher activity of the Schrock molybdenum alkylidene (1) in a new catalyst.

5

Synthesis

In general, the catalysts of the present invention are prepared by substituting one of the L groups in the previously described ruthenium catalysts (wherein L = L¹), LLXX¹M=CRR¹, 10 with a triazolylidene ligand. Scheme 4 shows the currently accepted mechanism of olefin metathesis by ruthenium alkylidenes.



Scheme 4

15 The proposed mechanism in Scheme 4 has been determined through extensive kinetic and reactivity studies, and it corroborates well with recently determined thermochemical data. As shown, the main steps in the reaction involve: 1) olefin coordination *cis* to the alkylidene, ii) alkylidene rotation and dissociation of a phosphine, iii) formation of a metallacyclobutane intermediate, and finally, iv) formal retrocycloaddition to complete the metathesis reaction.

20

The most important aspect about the above mechanism that is pertinent to this discussion is that the most active species is a mono (phosphine) intermediate; which requires Ru-P bond cleavage. Consistent with this mechanism, it has been found that both more sterically bulky and more electron donating phosphines increase the catalyst activity, since these properties 25 facilitate phosphine dissociation and/or stabilization of the mono (phosphine) intermediate. In addition, it has been proposed that more electron donating phosphines also favor the

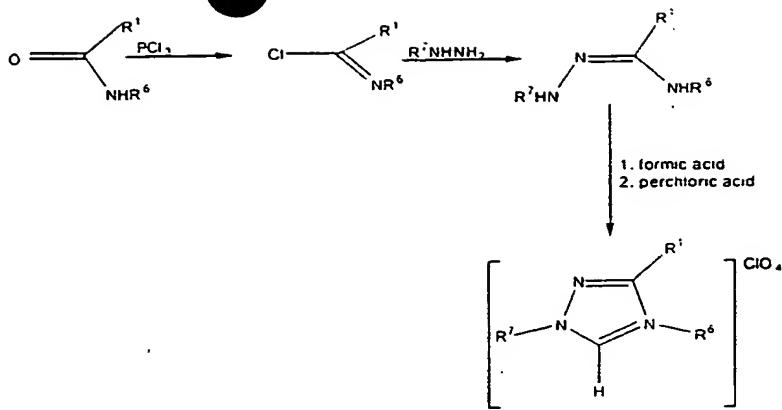
formation of the metallocyclobutane intermediate. Using this concept, the ruthenium alkylidene complex with an N-heterocyclic carbene ligand of the invention would be a more active metathesis catalyst because of the ligand's strong electron donating character. Furthermore, it is proposed that the more labile phosphine would dissociate, giving a mono 5 (N-heterocyclic carbene) intermediate as the active species.

As ligands, the N-heterocyclic carbenes are characterized as pure sigma-donors. As a result, the N-heterocyclic carbenes are able to form complexes with all metals, from transition elements in both high and low oxidation states to the main group metals and the lanthanides. 10 Several studies have focused on the applications and advantages of these ligands in comparison to conventional donor ligands, such as tertiary phosphines. In a number of cases, the metal-carbene bond has been found to be remarkably robust. For example, the palladium complex L_2PdI_2 where $L=1,3$ -dimethyl-2,3-dihydro-1H-imidazol-2-ylidene, remained intact within the presence of oxygen in boiling THF for several days.

15 Thus, the N-heterocyclic carbene ligands incorporate several characteristics that would be advantageous in making an improved olefin metathesis catalyst. Most importantly, these ligands are stronger electron donors than phosphines, which according to the accepted mechanism of olefin metathesis, should make a mono-substituted analog of the type shown in 20 Figure 3 even more active than the parent complex (2). Previous work has also indicated that metal-carbene bonds of this kind are thermally stable and resistant to dissociation. Finally, the synthesis of N-heterocyclic carbene derivatives is relatively straightforward, so it would be possible to rapidly investigate the effects of steric and electronic changes to the ligand on catalyst activity.

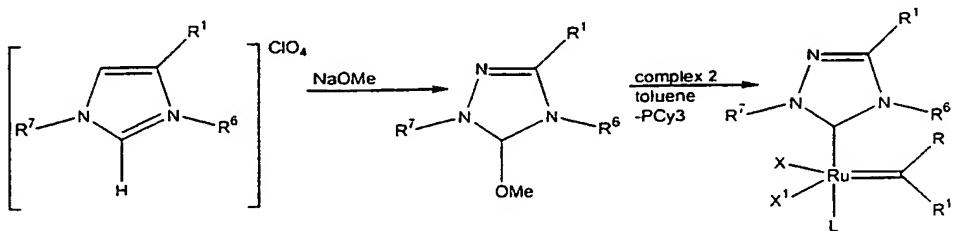
25 Thus, considering the electron donating characteristics of these ligands, the invention provides a method for attaching a N-heterocyclic carbene ligand to the metal center of a ruthenium metathesis catalyst. The preferred N-heterocyclic carbene ligand is triazolylidene. In the first step, a salt is prepared from an N-mono(substituted) amide as follows:

30



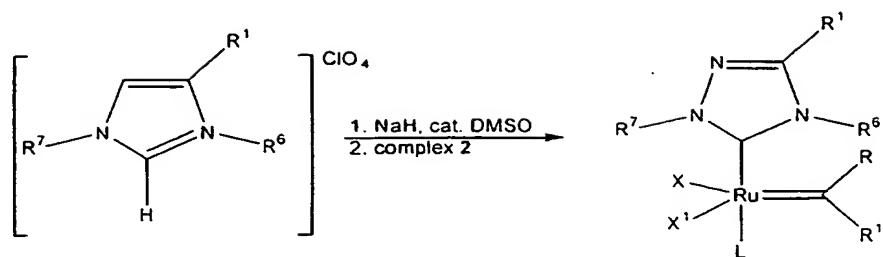
Scheme 5

Next, and as shown in Scheme 6, the salt may be converted to a substituted or unsubstituted 5 primary alkyl oxide, for example, a C₁-C₃ alkyl oxide. Moreover, the primary alkyl oxide may include one or more functional groups. Examples of suitable functional groups include but are not limited to: hydroxyl, thiol, thioether, ketone, aldehyde, ester, ether, amine, imine, amide, nitro, carboxylic acid, disulfide, carbonate, isocyanate, carbodiimide, carboalkoxy, carbamate, and halogen. Preferably, the salt is converted to a methoxide. In the preferred 10 embodiment, the methoxide is then reacted with reacted with LLXX¹M=CRR¹ (previously described ruthenium metathesis catalyst) to yield a triazolylidene based ruthenium carbene catalyst.



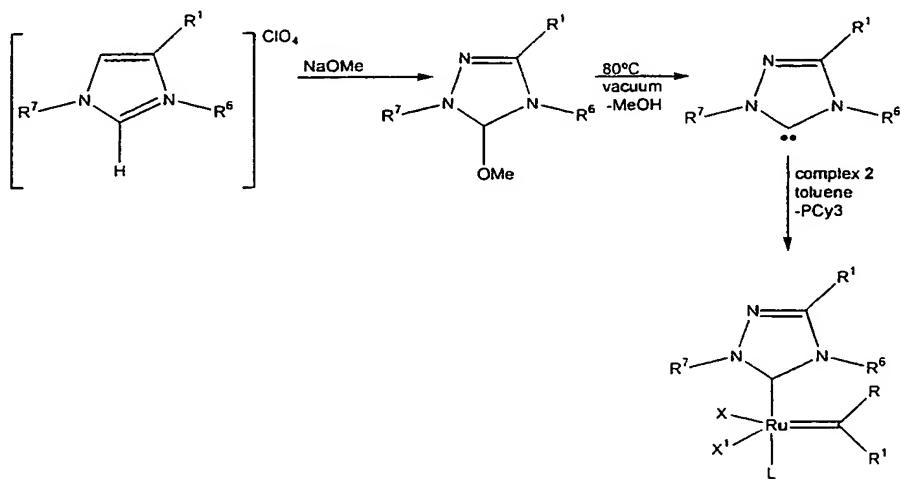
Scheme 6

Alternatively, the product may be made directly from the salt by generating the carbene *in situ* as shown in Scheme 7.



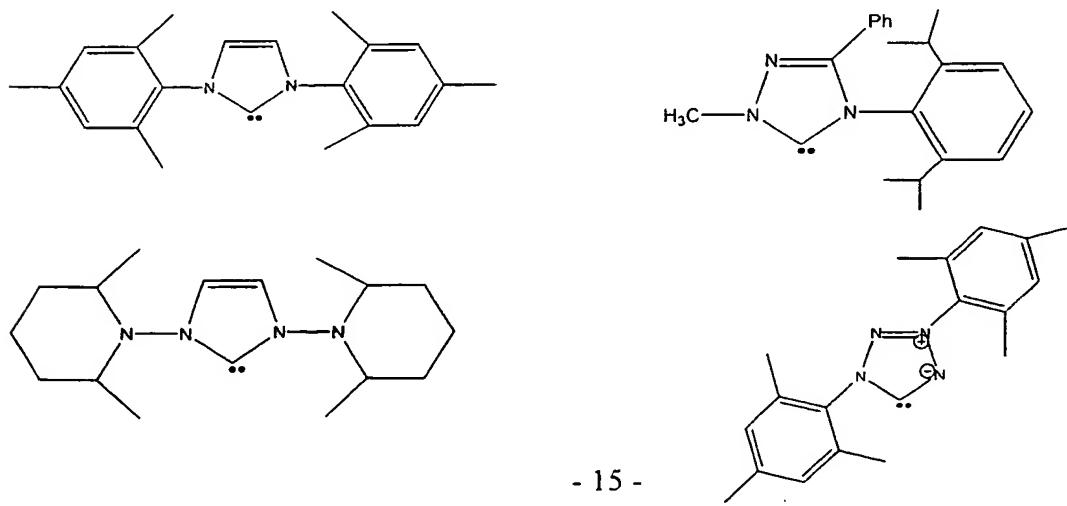
Scheme 7

Finally, the free carbene can be reacted with $LLXX^1M=CRR^1$ (previously described 5 ruthenium metathesis catalyst) to yield a triazolylidene based ruthenium carbene catalyst as shown in Scheme 8.



Scheme 8

10 Examples of other N-heterocyclic carbene ligands that may be used with the invention are:

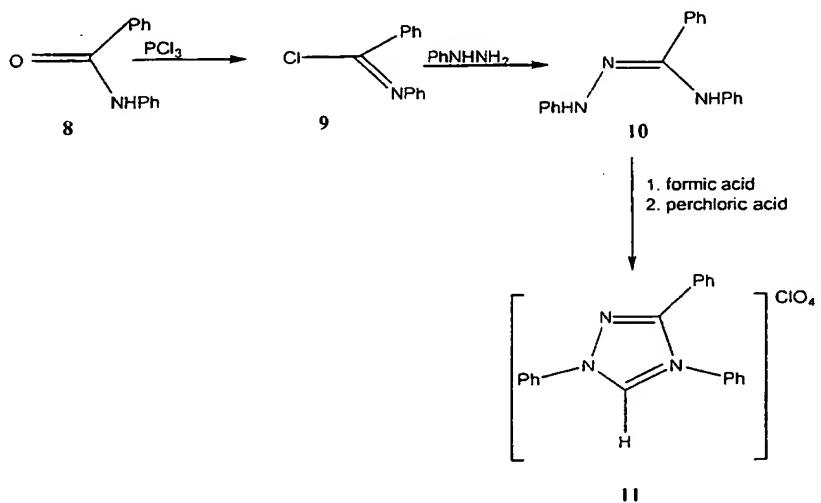


For the purposes of clarity, the specific details of the invention will be illustrated with reference to especially preferred embodiments. However, it should be appreciated that these embodiments and examples are for the purposes of illustration only and are not intended to limit the scope of the invention.

5

REPRESENTATIVE EXPERIMENTAL PROTOCOLS

Synthesis of (PCy₃)(L)Cl₂Ru(CHPh)[L=1,3,4-triphenyl-4,5-dihydro-1H-triazol-5-ylidene]. The target was chosen to be a mono-substituted complex containing a triazolylidene ligand (14). The synthesis of the 1,3,4-triphenyl substituted triazolylidene (13) has been previously reported. As shown in Scheme 9, the route to the triazolium salt involves the preparation of N-phenylbenzimidoyl chloride (9) from benzanilide (8), followed by reaction with phenylhydrazine to give a mixture of N-phenylbenzamide phenylhydrazone (10) and N-amino-N,N-diphenyl benzamide. The N-phenylbenzamide phenylhydrazone is then converted to the triazolium salt by cyclocondensation with formic acid. The perchlorate salt (11) is obtained by treatment with perchloric acid. Finally, the triazolium salt can then be readily converted to the methoxide (12), as shown in Scheme 10, which eliminates methanol upon heating under reduced pressure to give the free carbene (13).

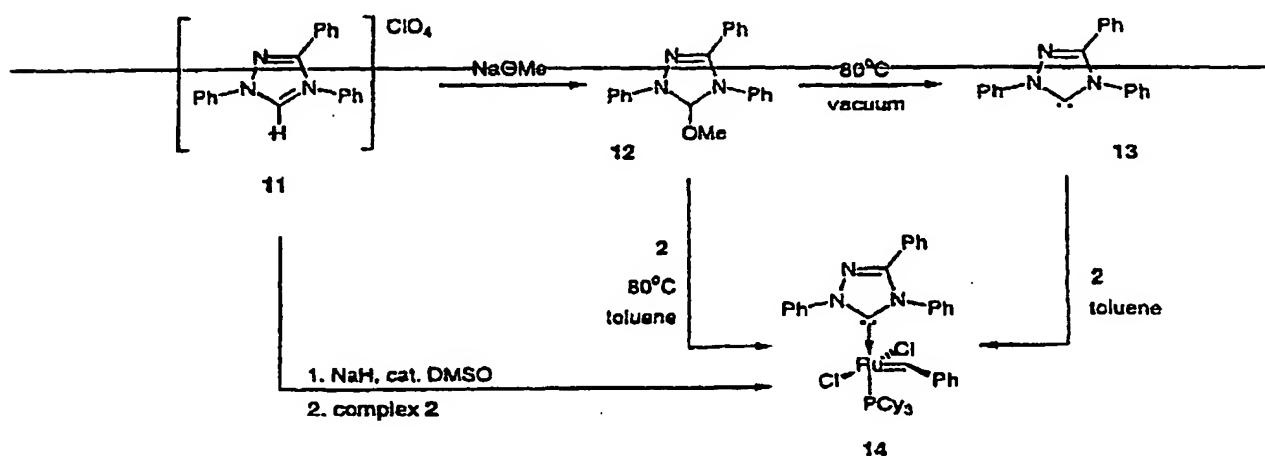


Scheme 9

20

One equivalent of the isolated carbene reacted with (PCy₃)(L)Cl₂Ru(CHPh) (2) in toluene at room temperature to give the mono-substituted complex 14 (Scheme 10). The

ligand exchange occurred remarkably quickly (within 5 minutes). Alternatively, it was found that the product could be made directly from the reaction of the methoxide (12) and 2 in toluene at 80°C for 5-10 minutes. This route was used to prepare complex 14 on a 0.6g scale in 59% yield. The free PCy_3 byproduct could be readily separated by crystallization of the product from pentane at -78°C. Furthermore, the product could also be made directly from the triazolium salt (11) by generating the carbene *in situ* as shown in Scheme 10.



Scheme 10

The product of these reactions (14) is actually a mixture of two species. By ¹H NMR, two resonances for alkylidene alpha-protons were observed, one at 20.04 ppm (doublet, $^3J_{HP} = 9.6 \text{ Hz}$, $\delta_1 = 9.6 \text{ Hz}$) and the other at 19.84 ppm (doublet, $^3J_{HP} = 7.5 \text{ Hz}$). These two resonances appeared consistently in a 1.5 to 1 ratio. The fact that the two alkylidene moieties exhibit different coupling constants is consistent with the products being conformational isomers, in which only the orientations of the triazolylidene ligand and the alkylidene moiety are different. Likewise, the ³¹P NMR showed two resonances, one at 24.14 and the other at 23.04 ppm (both singlets). The composition of the products was further supported by high resolution mass spectrometry data, which showed only one product molecular ion peak. Attempts have not yet been made to separate the isomers. Like complex 2, this product is also air stable as a solid.

General Considerations. All manipulations were carried out using standard Schlenk and vacuum line techniques or in a nitrogen filled drybox. Diethyl diallylmalonate was obtained

from Aldrich and degassed before use. Additional RCM substrates were obtained from other group members. Dicyclopentadiene (98%) was obtained from Aldrich and used as received. $(PCy_3)_2Cl_2Ru(CHPh)$ (2) was obtained from a group supply. 1,3,4-Triphenyl-4,5-dihydro-1*H*-triazol-5-ylidene (13) and its intermediates were prepared as previously reported.¹⁵ NMR solvents were used as received from Cambridge Isotopes. NMR experiments were performed in J. Young gas NMR tubes. ¹H NMR spectra were recorded on a General Electric QE-300 spectrometer (300.1 MHz for ¹H). ³¹P spectra were recorded on a JEOL JNM-GX400 spectrometer (161.9 MHz ³¹P). Chemical shifts are reported in parts per million (ppm) downfield from tetramethylsilane with reference to internal solvent for proton spectra.

10 Phosphoric acid was employed as the internal solvent for phosphorus spectra. Mass spectral analysis was performed at the Southern California Mass Spectrometry Facility (University of California at Riverside).

15 **Synthesis of $(PCy_3)(L)Cl_2Ru(CHPh)$ [L = 1,3,4-Triphenyl-4,5-dihydro-1*H*-triazol-5-ylidene] (14).** In the glovebox, a Schlenk was charged with 0.500 g (0.608 mmol) of $(PCy_3)_2Cl_2Ru(CHPh)$ (2) and 0.195 g (0.592 mmol) of triazolylidene methoxide (12), and then 17 mL toluene were added. The reaction was stirred at room temperature for 20 minutes and at 80°C for 20 minutes. The brown solution was pumped down under vacuum. Then 100 mL dry pentane was added, and the mixture was gently warmed to dissolve as much material as possible. Upon cooling this solution to -78°C, a tan colored precipitate formed. The supernatant was filtered off via cannula and the product dried under vacuum. Yield = 0.293 g (59%). ¹H NMR (C_6D_6) 20.04 (d, ³J_{HF}=9.6 Hz), 19.84 (d, ³J_{HF}=7.5 Hz); ³¹P NMR (C_6D_6) 24.14, 23.04; HRMS (FAB) $C_{45}H_{54}Cl_2N_3PRu$ [M] 839.2476, found 839.2450.

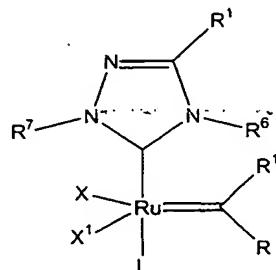
20 **Typical RCM experiment.** In the glovebox, 5 mol % of complex 14 dissolved in CD_2Cl_2 was added to the appropriate amount of a 0.05M solution of substrate in CD_2Cl_2 . This solution was mixed and 0.75 mL of it was transferred to an NMR tube, which was then sealed. The reaction was either heated at ~40°C for 30 minutes or left at room temperature, and it was monitored periodically by ¹H NMR.

30 **Typical ROMP experiment.** Approximately 2-3 mgs of complex 14 dissolved in a minimal amount of toluene (0.1-0.2 mL) was added to a small beaker containing 25 mL of vigorously stirred, warmed (40-50°C) dicyclopentadiene. Reaction occurred within minutes to yield a solid block of yellow polymer.

What is claimed is:

1. A compound of the formula

5



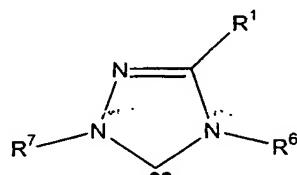
wherein:

X and X¹ are either the same or different and are any anionic ligand;

10 R and R¹ are either the same or different and are each independently hydrogen or a substituent selected from the group consisting of C₁-C₂₀ alkyl, C₂-C₂₀ alkenyl, C₂-C₂₀ alkynyl, aryl, C₁-C₂₀ carboxylate, C₁-C₂₀ alkoxy, C₂-C₂₀ alkenyloxy, C₂-C₂₀ alkynyloxy, aryloxy, C₂-C₂₀ alkoxy carbonyl, C₁-C₂₀ alkylthio, C₁-C₂₀ alkylsulfonyl and C₁-C₂₀ alkylsulfinyl, wherein each of the substituents is substituted or unsubstituted;

15 L is any neutral electron donor; and

L¹ is a triazolylidene ligand of the formula:



20 wherein R⁶ and R⁷ are each independently hydrogen or a moiety selected from the group consisting of C₁-C₂₀ alkyl, C₂-C₂₀ alkenyl, C₂-C₂₀ alkynyl, aryl, C₁-C₂₀ carboxylate, C₁-C₂₀ alkoxy, C₂-C₂₀ alkenyloxy, C₂-C₂₀ alkynyloxy, aryloxy, C₂-C₂₀ alkoxy carbonyl, C₁-C₂₀ alkylthio, C₁-C₂₀ alkylsulfonyl and C₁-C₂₀ alkylsulfinyl, and wherein R⁶ and R⁷ are each independently substituted or unsubstituted.

2. The compound of Claim 1 wherein the substituent group is substituted with one or more substituted or unsubstituted groups selected from the group consisting of C₁-C₁₀ alkyl, C₁-C₁₀ alkoxy, and aryl.
- 5 3. The compound of Claim 2 wherein the substituent substitution is substituted with one or more groups selected from the group consisting of halogen, C₁-C₅ alkyl, C₁-C₅ alkoxy.
- 10 4. The compound of Claim 1 wherein the substituent is functionalized with a moiety selected from the group consisting of hydroxyl, thiol, thioether, ketone, aldehyde, ester, ether, amine, imine, amide, nitro, carboxylic acid, disulfide, carbonate, isocyanate, carbodiimide, carboalkoxy, carbamate, and halogen.
- 15 5. The compound of Claim 1 wherein R is hydrogen and R¹ is selected from the group consisting of C₁-C₂₀ alkyl, C₂-C₂₀ alkenyl, aryl, unsubstituted phenyl, substituted phenyl, unsubstituted vinyl, and substituted vinyl; and wherein the substituted phenyl and substituted vinyl are each independently substituted with one or more groups selected from the group consisting of C₁-C₅ alkyl, C₁-C₅ alkoxy, phenyl, hydroxyl, thiol, ketone, aldehyde, ester, ether, amine, imine, amide, nitro, carboxylic acid, disulfide, carbonate, isocyanate, carbodiimide, carboalkoxy, and halogen.
- 20 6. The compound of Claim 1 wherein L is selected from the group consisting of phosphine, sulfonated phosphine, phosphite, phosphinite, phosphonite, arsine, stibine, ether, amine, amide, imine, sulfoxide, carboxyl, nitrosyl, pyridine, and thioether.
- 25 7. The compound of Claim 6 wherein L is a phosphine of the formula PR³R⁴R⁵ wherein R³, R⁴, and R⁵ are each independently selected from the group consisting of aryl and C₁-C₁₀ alkyl.
- 30 8. The compound of Claim 7 wherein R³, R⁴, and R⁵ are each independently selected from the group consisting of primary alkyl, secondary alkyl, and cycloalkyl.
9. The compound of Claim 7 wherein L is selected from the group consisting of P(cyclohexyl)₃, P(cyclopentyl)₃, P(isopropyl)₃, and P(phenyl)₃.

10. The compound of Claim 1 wherein X and X¹ are each independently selected from the group consisting of hydrogen, halogen, substituted moiety and unsubstituted moiety, wherein the moiety is selected from the group consisting of C₁-C₂₀ alkyl, aryl, C₁-C₂₀ alkoxide, aryloxide, C₃-C₂₀ alkylketonate, aryldiketonate, C₁-C₂₀ carboxylate, arylsulfonate, C₁-C₂₀ alkylsulfonate, C₁-C₂₀ alkylthio, C₁-C₂₀ alkylsulfonyl, and C₁-C₂₀ alkylsulfinyl, and wherein the moiety substitution is selected from the group consisting of C₁-C₁₀ alkyl, C₁-C₁₀ alkoxy, and aryl.

10 11. The compound of Claim 1 wherein X and X¹ are each independently selected from the group consisting of halide, benzoate, C₁-C₅ carboxylate, C₁-C₅ alkyl, phenoxy, C₁-C₅ alkoxy, C₁-C₅ alkylthio, aryl, and C₁-C₅ alkyl sulfonate.

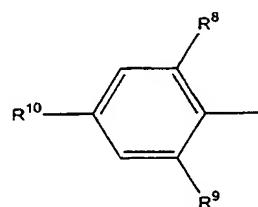
12. The compound of Claim 1 wherein X and X¹ are each independently selected from the group consisting of halide, CF₃CO₂, CH₃CO₂, CFH₂CO₂, (CH₃)₃CO, (CF₃)₂(CH₃)CO, (CF₃)(CH₃)₂CO, PhO, MeO, EtO, tosylate, mesylate, and trifluoromethanesulfonate.

13. The compound of Claim 1 wherein R⁶ and R⁷ together form a cycloalkyl or an aryl moiety.

20 14. The compound of Claim 1 wherein R⁶ and R⁷ are both hydrogen or phenyl.

15. The compound of Claim 1 wherein R⁶ and R⁷ are the same and each is independently of the formula

25



wherein:

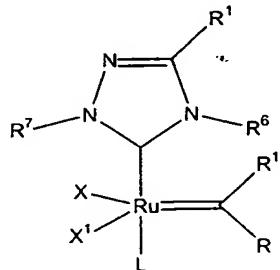
R⁸, R⁹, and R¹⁰ are each independently hydrogen, C₁-C₁₀ alkyl, C₁-C₁₀ alkoxy, aryl, or a functional group selected from hydroxyl, thiol, thioether, ketone, aldehyde,

ester, ether, amine, imine, amide, nitro, carboxylic acid, disulfide, carbonate, isocyanate, carbodiimide, carboalkoxy, carbamate, and halogen.

16. The compound of Claim 15 wherein R⁸, R⁹, and R¹⁰ are the same and are each methyl.

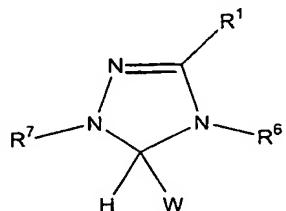
5

17. A method for synthesizing a compound of the formula



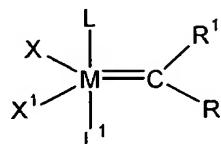
comprising:

contacting a compound of the formula:



10

with a compound of the formula



wherein:

M is ruthenium;

15

X and X¹ are either the same or different and are any anionic ligand;

20

R and R¹ are either the same or different and are each independently hydrogen or a substituent selected from the group consisting of C₁-C₂₀ alkyl, C₂-C₂₀ alkenyl, C₂-C₂₀ alkynyl, aryl, C₁-C₂₀ carboxylate, C₁-C₂₀ alkoxy, C₂-C₂₀ alkenyloxy, C₂-C₂₀ alkynyoxy, aryloxy, C₂-C₂₀ alkoxycarbonyl, C₁-C₂₀ alkylthio, C₁-C₂₀ alkylsulfonyl and C₁-C₂₀ alkylsulfinyl, wherein each of the substituents is substituted or unsubstituted;

L and L¹ are either the same or difference and are any neutral electron donor;

5 R^6 and R^7 are each independently hydrogen or a moiety selected from the group consisting of C_1 - C_{20} alkyl, C_2 - C_{20} alkenyl, C_2 - C_{20} alkynyl, aryl, C_1 - C_{20} carboxylate, C_1 - C_{20} alkoxy, C_2 - C_{20} alkenyloxy, C_2 - C_{20} alkynyoxy, aryloxy, C_2 - C_{20} alkoxy carbonyl, C_1 - C_{20} alkylthio, C_1 - C_{20} alkylsulfonyl and C_1 - C_{20} alkylsulfinyl, wherein R^6 and R^7 are each independently substituted or unsubstituted; and

 W is selected from the group consisting of C_1 - C_3 primary alkyl oxides and wherein W is substituted or unsubstituted.

18. The method of Claim 17 wherein the N-heterocyclic carbene ligand is triazolylidene.

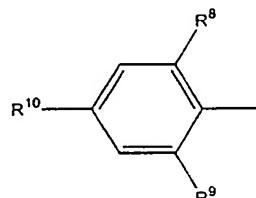
10

19. The method of Claim 17 wherein R^6 and R^7 together form a cycloalkyl or an aryl moiety.

20. The method of Claim 17 wherein R^6 and R^7 are both hydrogen or phenyl.

15

21. The method of Claim 17 wherein R^6 and R^7 are the same and each is independently of the formula



wherein:

20. R^8 , R^9 , and R^{10} are each independently hydrogen, C_1 - C_{10} alkyl, C_1 - C_{10} alkoxy, aryl, or a functional group selected from hydroxyl, thiol, thioether, ketone, aldehyde, ester, ether, amine, imine, amide, nitro, carboxylic acid, disulfide, carbonate, isocyanate, carbodiimide, carboalkoxy, carbamate, and halogen.

25 22. The method of Claim 21 wherein R^8 , R^9 , and R^{10} are the same and are each methyl.

23. The method of Claim 17 wherein W is functionalized with a functional group selected from the group consisting of hydroxyl, thiol, thioether, ketone, aldehyde, ester, ether,

amine, imine, amide, nitro, carboxylic acid, disulfide, carbonate, isocyanate, carbodiimide, carboalkoxy, carbamate, and halogen.

24. The method of Claim 17 wherein W is methoxide.

5

25. The method of Claim 17 wherein X and X¹ are each independently selected from the group consisting of hydrogen, halogen, substituted moiety and unsubstituted moiety, wherein the moiety is selected from the group consisting of C₁-C₂₀ alkyl, aryl, C₁-C₂₀ alkoxide, aryloxide, C₃-C₂₀ alkyldiketonate, aryldiketonate, C₁-C₂₀ carboxylate, arylsulfonate, C₁-C₂₀ alkylsulfonate, C₁-C₂₀ alkylthio, C₁-C₂₀ alkylsulfonyl, and C₁-C₂₀ alkylsulfinyl, and wherein the moiety substitution is selected from the group consisting of C₁-C₁₀ alkyl, C₁-C₁₀ alkoxy, and aryl;

10

L and L¹ are each independently selected from the group consisting of phosphine, sulfonated phosphine, phosphite, phosphinite, phosphonite, arsine, stibine, ether, amine, amide, imine, sulfoxide, carboxyl, nitrosyl, pyridine, and thioether; and R is hydrogen and R¹ is phenyl.

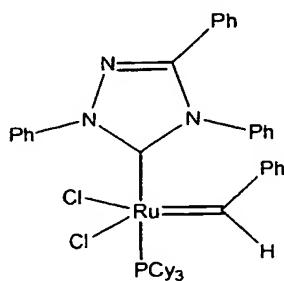
15

26. The method of Claim 25 wherein X and X¹ are each chloride and L and L¹ are each independently selected from the group consisting of P(cyclohexyl)₃, P(cyclopentyl)₃, P(isopropyl)₃, and P(phenyl)₃.

20

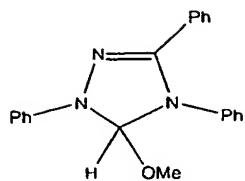
27. The method of Claim 17 wherein R is hydrogen, R¹, R⁶ and R⁷ are each phenyl, L is PPh₃, and X and X¹ are each chloride.

25 28. A method for synthesizing a compound of the formula

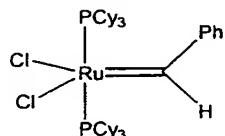


comprising:

contacting a compound of the formula:

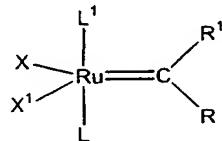


with a compound of the formula



5

29. A method for attaching a N-heterocyclic carbene ligand to a ruthenium metal carbene metathesis complex comprising:
contacting the N-heterocyclic carbene ligand with a compound of the formula



wherein

10 X and X' are either the same or different and are any anionic ligand;
R and R' are either the same or different and are each independently hydrogen or a substituent selected from the group consisting of C₁-C₂₀ alkyl, C₂-C₂₀ alkenyl, C₂-C₂₀ alkynyl, aryl, C₁-C₂₀ carboxylate, C₁-C₂₀ alkoxy, C₂-C₂₀ alkenyloxy, C₂-C₂₀ alkynyoxy, aryloxy, C₂-C₂₀ alkoxy carbonyl, C₁-C₂₀ alkylthio, C₁-C₂₀ alkylsulfonyl and C₁-C₂₀ alkylsulfinyl; wherein each of the substituents is substituted or unsubstituted; and

15 L and L' are either the same or difference and are any neutral electron donor.

30. The method of Claim 29 wherein X and X' are each independently selected from the 20 group consisting of hydrogen, halogen, substituted moiety and unsubstituted moiety, wherein the moiety is selected from the group consisting of C₁-C₂₀ alkyl, aryl, C₁-C₂₀ alkoxide, aryloxide, C₃-C₂₀ alkyldiketonate, aryldiketonate, C₁-C₂₀ carboxylate, arylsulfonate, C₁-C₂₀ alkylsulfonate, C₁-C₂₀ alkylthio, C₁-C₂₀ alkylsulfonyl, and C₁-

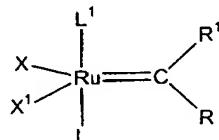
C_{20} alkylsulfinyl, and wherein the moiety substitution is selected from the group consisting of C_1 - C_{10} alkyl, C_1 - C_{10} alkoxy, and aryl;

5 L and L^1 are each independently selected from the group consisting of phosphine, sulfonated phosphine, phosphite, phosphinite, phosphonite, arsine, stibine, ether, amine, amide, imine, sulfoxide, carboxyl, nitrosyl, pyridine, and thioether; and R is hydrogen and R^1 is phenyl.

31. The method of Claim 30 wherein X and X^1 are each chloride and L and L^1 are each independently selected from the group consisting of $P(cyclohexyl)_3$, $P(cyclopentyl)_3$, $P(isopropyl)_3$, and $P(phenyl)_3$.

10 32. The method of Claim 29 wherein the N-heterocyclic carbene ligand is unsaturated.

15 33. A compound formed by attaching a N-heterocyclic carbene ligand to a ruthenium metal carbene metathesis complex comprising:
contacting the N-heterocyclic carbene ligand with a compound of the formula



wherein

X and X^1 are either the same or different and are any anionic ligand;

20 R and R^1 are either the same or different and are each independently hydrogen or a substituent selected from the group consisting of C_1 - C_{20} alkyl, C_2 - C_{20} alkenyl, C_2 - C_{20} alkynyl, aryl, C_1 - C_{20} carboxylate, C_1 - C_{20} alkoxy, C_2 - C_{20} alkenyloxy, C_2 - C_{20} alkynyoxy, aryloxy, C_2 - C_{20} alkoxy carbonyl, C_1 - C_{20} alkylthio, C_1 - C_{20} alkylsulfonyl and C_1 - C_{20} alkylsulfinyl, wherein each of the substituents is substituted or unsubstituted; and

25 L and L^1 are either the same or difference and are any neutral electron donor.

34. The compound of Claim 33 wherein X and X^1 are each independently selected from the group consisting of hydrogen, halogen, substituted moiety and unsubstituted moiety, wherein the moiety is selected from the group consisting of C_1 - C_{20} alkyl, aryl, C_1 - C_{20} alkoxide, aryloxide, C_3 - C_{20} alkyldiketonate, aryldiketonate, C_1 - C_{20} carboxylate, arylsulfonate, C_1 - C_{20} alkylsulfonate, C_1 - C_{20} alkylthio, C_1 - C_{20}

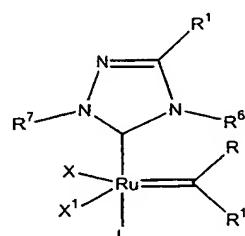
alkylsulfonyl, and C₁-C₂₀ alkylsulfinyl, and wherein the moiety substitution is selected from the group consisting of C₁-C₁₀ alkyl, C₁-C₁₀ alkoxy, and aryl; L and L¹ are each independently selected from the group consisting of phosphine, sulfonated phosphine, phosphite, phosphinite, phosphonite, arsine, stibine, ether, amine, amide, imine, sulfoxide, carboxyl, nitrosyl, pyridine, and thioether; and R is hydrogen and R¹ is phenyl.

5

35. The compound of Claim 34 wherein X and X¹ are each chloride and L and L¹ are each independently selected from the group consisting of P(cyclohexyl)₃, P(cyclopentyl)₃, P(isopropyl)₃, and P(phenyl)₃.

10

36. A product formed by the ROMP of a cyclic olefin monomer using a compound of the formula



wherein:

15 X and X¹ are either the same or different and are any anionic ligand;

R and R¹ are either the same or different and are each independently hydrogen or a substituent selected from the group consisting of C₁-C₂₀ alkyl, C₂-C₂₀ alkenyl, C₂-C₂₀ alkynyl, aryl, C₁-C₂₀ carboxylate, C₁-C₂₀ alkoxy, C₂-C₂₀ alkenyloxy, C₂-C₂₀ alkynyoxy, aryloxy, C₂-C₂₀ alkoxy carbonyl, C₁-C₂₀ alkylthio, C₁-C₂₀ alkylsulfonyl and C₁-C₂₀ alkylsulfinyl, wherein each of the substituents is substituted or unsubstituted;

20

L is any neutral electron donor; and

25 wherein R⁶ and R⁷ are each independently hydrogen or a moiety selected from the group consisting of C₁-C₂₀ alkyl, C₂-C₂₀ alkenyl, C₂-C₂₀ alkynyl, aryl, C₁-C₂₀ carboxylate, C₁-C₂₀ alkoxy, C₂-C₂₀ alkenyloxy, C₂-C₂₀ alkynyoxy, aryloxy, C₂-C₂₀ alkoxy carbonyl, C₁-C₂₀ alkylthio, C₁-C₂₀ alkylsulfonyl and C₁-C₂₀ alkylsulfinyl, and wherein R⁶ and R⁷ are each independently substituted or unsubstituted.

37. The product of Claim 36 wherein the substituent group is substituted with one or more substituted or unsubstituted groups selected from the group consisting of C₁-C₁₀ alkyl, C₁-C₁₀ alkoxy, and aryl.

5 38. The product of Claim 36 wherein the substituent is functionalized with a moiety selected from the group consisting of hydroxyl, thiol, thioether, ketone, aldehyde, ester, ether, amine, imine, amide, nitro, carboxylic acid, disulfide, carbonate, isocyanate, carbodiimide, carboalkoxy, carbamate, and halogen.

10 39. The product of Claim 36 wherein R is hydrogen and R¹ is selected from the group consisting of C₁-C₂₀ alkyl, C₂-C₂₀ alkenyl, aryl, unsubstituted phenyl, substituted phenyl, unsubstituted vinyl, and substituted vinyl; and wherein the substituted phenyl and substituted vinyl are each independently substituted with one or more groups selected from the group consisting of C₁-C₅ alkyl, C₁-C₅ alkoxy, phenyl, hydroxyl, thiol, ketone, aldehyde, ester, ether, amine, imine, amide, nitro, carboxylic acid, disulfide, carbonate, isocyanate, carbodiimide, carboalkoxy, and halogen.

15

40. The product of Claim 36 wherein L is selected from the group consisting of phosphine, sulfonated phosphine, phosphite, phosphinite, phosphonite, arsine, stibine, ether, amine, amide, imine, sulfoxide, carboxyl, nitrosyl, pyridine, and thioether.

20

41. The product of Claim 40 wherein L is selected from the group consisting of P(cyclohexyl)₃, P(cyclopentyl)₃, P(isopropyl)₃, and P(phenyl)₃.

25 42. The product of Claim 36 wherein X and X¹ are each independently selected from the group consisting of hydrogen, halogen, substituted moiety and unsubstituted moiety, wherein the moiety is selected from the group consisting of C₁-C₂₀ alkyl, aryl, C₁-C₂₀ alkoxide, aryloxide, C₃-C₂₀ alkylketone, aryldiketone, C₁-C₂₀ carboxylate, arylsulfonate, C₁-C₂₀ alkylsulfonate, C₁-C₂₀ alkylthio, C₁-C₂₀ alkylsulfonyl, and C₁-30 alkylsulfinyl, and wherein the moiety substitution is selected from the group consisting of C₁-C₁₀ alkyl, C₁-C₁₀ alkoxy, and aryl.

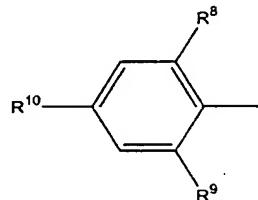
43. The product of Claim 36 wherein X and X¹ are each chloride.

44. The product of Claim 36 wherein R⁶ and R⁷ together form a cycloalkyl or an aryl moiety.

45. The product of Claim 36 wherein R⁶ and R⁷ are both hydrogen or phenyl.

5

46. The product of Claim 36 wherein R⁶ and R⁷ are the same and each is independently of the formula



10

wherein:

R⁸, R⁹, and R¹⁰ are each independently hydrogen, C₁-C₁₀ alkyl, C₁-C₁₀ alkoxy, aryl, or a functional group selected from hydroxyl, thiol, thioether, ketone, aldehyde, ester, ether, amine, imine, amide, nitro, carboxylic acid, disulfide, carbonate, isocyanate, carbodiimide, carboalkoxy, carbamate, and halogen.

15

47. The product of Claim 46 wherein R⁸, R⁹, and R¹⁰ are the same and are each methyl.

48. The product of Claim 36 wherein the cyclic olefin monomer is selected from the group consisting of trisubstituted cyclooctene derivatives, cyclooctatetraene derivatives, cyclopropenes, cyclobutenes, benzocyclobutenes, cyclopentenes, cyclopentadiene oligomers, cyclohexenes, cycloheptenes, cyclooctenes, cyclooctadienes, norbornenes, norbornadienes, [2.2.1]bicycloheptenes, [2.2.2]bicyclooctenes, cyclohexenylnorbornenes, and norbornene dicarboxylic anhydrides.

20

25

49. The product of Claim 36 wherein the cyclic olefin monomer is dicyclopentadiene.

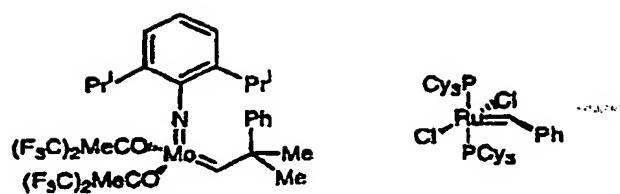
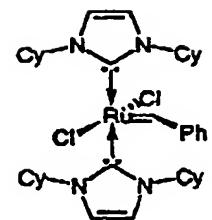
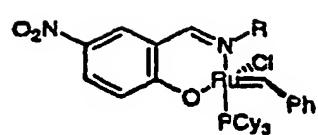
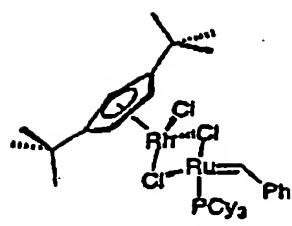


Figure 1

1

2

Figure 2



INTERNATIONAL SEARCH REPORT

International application No.
PCT/US00/08753

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) :C07F 15/00
US CL :548/103

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 548/103

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

CAS ONLINE

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
E,P	WO 99/51344 A1 (HERRMANN et al.) 14 October 1999. See the entire document especially page 7 and the abstract.	1-27

 Further documents are listed in the continuation of Box C. See patent family annex.

A	Special categories of cited documents.	*T*	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
E	document defining the general state of the art which is not considered to be of particular relevance	*X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
L	earlier document published on or after the international filing date	*Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
O	document referring to an oral disclosure, use, exhibition or other means	*&*	document member of the same patent family
P	document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

02 JUNE 2000

Date of mailing of the international search report

20 JUL 2000

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized Officer

TAOPIKA SOLOLA

Telephone No. (703) 308-1235

Form PCT/ISA/210 (second sheet) (July 1998)*

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US00/08753

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:

2. Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

Please See Extra Sheet.

1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:

4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
1-27

Remark on Protest

The additional search fees were accompanied by the applicant's protest.
 No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US00/08753

BOX II. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING

This ISA found multiple inventions as follows:

This application contains the following inventions or groups of inventions which are not so linked as to form a single inventive concept under PCT Rule 13.1. In order for all inventions to be searched, the appropriate additional search fees must be paid.

Group I, claim(s)1-27, drawn to triazole derivatives and a process of making said compounds.

Group II, claim(s) 28, drawn to a process of making second triazole derivatives.

Group III, claim(s) 29-32, drawn to a process of attaching a carbene to a ruthenium metal carbene metathesis complex.

Group IV, claim(s)33-35, drawn to a product by process wherein the compounds are triazole derivatives.

Group V, claim(s) 36-49, drawn to a second product by process wherein the product are triazole derivatives.

The species listed above do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, the species lack the same or corresponding special technical features for the following reasons: the technical feature shared by the groups is triazole. However, triazole is known in the art, and therefore cannot constitute a "special technical feature" as defined by PCT Rule 13.2. Accordingly, groups I-V are not so linked by a special technical feature so as to define a single general inventive concept.

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.